FIRSTNITO 2878

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RADE OMB control number. 09/751,932 **Application Number** TRANSMITTAL December 29, 2000 Filing Date **FORM** Ulrich Steegmueller **First Named Inventor** (to be used for all correspondence after initial filing) Group Art Unit 2878 to be assigned Examiner Name Attorney Docket Number P1999,0012US Total Number of Pages in This Submission 25 ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers (for an Application) Fee Transmittal Form Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) and Accompanying Petition After Final **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Change of Correspondence Additional Enclosure(s) **Extension of Time Request** (please identify below) Address Please see Remarks Terminal Disclaimer **Express Abandonment Request** Small Entity Statement Information Disclosure Statemen Request for Refund Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ 1. References cited in information disclosure statement Incomplete Application 2. Information disclosure statement cover letter Response to Missing return card Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Epping, Herman & Fischer; Customer No. 000026461 Firm ATTN: Jacob Eisenberg, Esq. Individual name Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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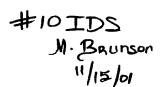
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## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Ulrich STEEGMUELLER et al.

Serial No.: 09/751,932

Filing Date: December 29, 2000

For:

Optical Encoder with Triple

Photodiode

Art Unit: 2878

Examiner: To be assigned

Atty. Docket No.:P1999,0012US

Customer No.: Epp.012

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington DC 20231

Sir:

In compliance with Rule 1.97 and 1.98, and in fulfillment of the duty of disclosure under Rule 1.56, the accompanying documents, copies of which are attached to this statement, are made of record on the enclosed sheet.

A concise explanation of the relevance of these items is set out in the specification and/or in that these references were cited by the German Patent Office in the corresponding Priority Application, No. DE 199 63 809.8, filed December 30, 1999 and/or mentioned in the above referenced US application and/or determined by Applicant(s) to be related to the patentability of the claims.

Pursuant to Rule 1.97(b), this information disclosure statement is being filed within three months of the filing date of a national application; within three months of date of entry of the national stage as set forth in §1.491 in an international application; or before the mailing date of a first Office action on the merits, whichever event occurs last. Therefore no fee for this filing is due. Consideration of the attached references by the Examiner is requested.

In the event that the Patent and Trademark Office determines that extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this

Serial No.: 09/751,932 Atty. Docket: P1999,0012US

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document to <u>Deposit Account No. 501871</u> referencing docket no.: P1999,0012US. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 17, 2001

Respectfully Submitted,

Jacob Eisenberg, Esq. Registration No. 43, 410

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